

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

9
1/24/96
W. Layman

In re Application of

James T. CLARK

Serial No.: 08/216,265

Filed: March 23, 1994

For: MAGNETIC SEALING STRIPS FOR
FOR DRAPERIES AND LININGS



Group Art Unit: 3509

Examiner: D. Purol

Attorney Docket No.: 2062.44522

SUBMISSION OF SMALL ENTITY STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.27, Applicant submits herewith a Verified Statement claiming Small Entity Status. This Statement indicates that the rights in the invention reside with a "Small Entity" and that the Small Entity is entitled to pay Small Entity fees for this application.

It is respectfully requested that this Statement be made of record in the above-referenced application.

Respectfully submitted,

BANNER & ALLEGRETTI, LTD.

By: Wendi L. Weinstein
Wendi L. Weinstein
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Dated: December 20, 1995

Applicant or Patentee: James T. CLARKApplicant's #: 2062.44522Serial or Patent No.: 08/216,265Filed or Issued: March 23, 1994For: MAGNETIC SEALING STRIPS FOR DRAPERIES AND LININGS**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**
(37 C.F.R. §§1.9(d) and 1.27(c)) — SMALL BUSINESS CONCERN

RECEIVED

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GROUP 3500

I hereby declare that I am:

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF CONCERN: ROCKLAND INDUSTRIES, INC.ADDRESS OF CONCERN: P.O. Box 17293, Baltimore, Maryland 21203

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR §§121.3-18, and reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees under §§41(a) and (b) of Title 35, United States Code, in that the number of employees of the business concern (including those of its affiliates) does not exceed 500 persons. For purposes of this Statement: (1) the number of employees of the business concern is the average over the previous fiscal year of the business concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year; and (2) business concerns are affiliates of each other when either directly or indirectly one business concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: MAGNETIC SEALING STRIPS FOR DRAPERIES AND LININGS

by inventor(s) James T. Clarkdescribed in ☐ the specification filed herewith.☒ application serial no. 08/216,265 filed March 23, 1994☐ patent no. _____ filed _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e). *NOTE: Separate verified statements are required from each named person, business concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

FULL NAME _____

☐ Individual

ADDRESS _____

☐ Small Business Concern☐ Nonprofit Organization

FULL NAME _____

☐ Individual

ADDRESS _____

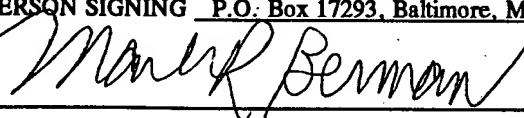
☐ Small Business Concern☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these were made with the knowledge that false statements made willfully are punishable by fine, imprisonment, or both a fine and imprisonment under Section 1001 of Title 18 of the United States Code; and further that false statements made willfully may jeopardize the validity of the application, of any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Mark R. BermanTITLE OF PERSON (other than owner) PresidentADDRESS OF PERSON SIGNING P.O. Box 17293, Baltimore, Maryland 21203

SIGNATURE



DATE

12/12/95